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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: James M. Hillmer

Appln. No.: 10/734,877

Filed: December 12, 2003

For: SYSTEM AND METHOD FOR STORING  
AND ACCESSING SECURE DATA

Examiner: Unassigned

Art Unit: 2131

Attorney Docket No: 3086/1443

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Third Supplemental Information Disclosure Statement; PTO Form 1449; Copy of cited reference C3..  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

|   |                                     |       |                                    |                  | Small Entity |           |    | Not a Small Entity |           |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|-----------|----|--------------------|-----------|
|   | Claims Remaining<br>After Amendment |       | Highest No.<br>Previously Paid For | Present<br>Extra | Rate         | Add'l Fee | or | Rate               | Add'l Fee |
| Total                                     |                                     | Minus |                                    |                  | x \$25=      |           |    | x \$50=            |           |
| Indep.                                    |                                     | Minus |                                    |                  | X100=        |           |    | x \$200=           |           |
| First Presentation of Multiple Dep. Claim |                                     |       |                                    |                  | +\$180=      |           |    | + \$360=           |           |
|   |                                     |       |                                    |                  | Total        | \$        |    | Total              | \$        |

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

2/4/05  
Date

James L. Katz (Reg. No. 42,711)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: James M. Hillmer

Appln. No.: 10/734,877

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For: SYSTEM AND METHOD FOR  
STORING AND ACCESSING  
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Attorney Docket No: 3086/1443

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### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

| No.  | Date of Publication | Patentee/Applicant/Assignee |
|--|---------------------|-----------------------------|
| 5,933,498                                      | 08/03/99            | Schneck et al.              |
| 5,991,751                                      | 11/23/99            | Rivette et al.              |
| OTHER ART – NON PATENT LITERATURE DOCUMENTS    |                     |                             |
| PCT International Search Report PCT/US03/39571 |                     |                             |

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

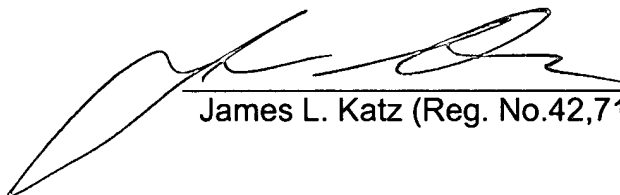
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

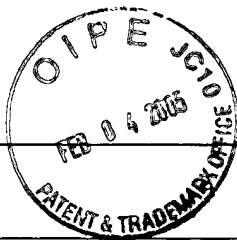
For purposes of 37 C.F.R. §1.704(d), Applicant certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

2/4/05  
Date

  
James L. Katz (Reg. No.42,711)



|  |                                  |   |
|--|----------------------------------|---|
| FORM PTO-1449  | SERIAL NO.<br>10/734,877         | CASE NO.<br>3086/1443<br>Client Ref AM1140.P1 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT<br>(use several sheets if necessary) | FILING DATE<br>December 12, 2003 | GROUP ART UNIT<br>2131                        |
| APPLICANT(S): James M. Hillmer   |                                  |   |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE     | NAME           | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|----|--|----------|----------------|--------------------|----------------|
|                     | C1 | 5,933,498  | 08/03/99 | Schneck et al. | 380/4              | 11/05/97       |
|                     | C2 | 5,991,751  | 11/23/99 | Rivette et al. | 707/1              | 06/02/97       |

FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|--|--|------|---------|--------------------|--------------------------|
|                     |  |  |      |         |                    |                          |

| EXAMINER<br>INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS<br><small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> |  |
|---------------------|---|--|
|                     | C3  | PCT International Search Report PCT/US03/39571 |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.